2155 30

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		
**	) Confirmation No.: 1	924
Arvind Srinivasan, et al.	)	
	) Examiner: NYA	
Serial No.: 09/994,497	)	
	) Group Art Unit No.:	2155
Filed on: November 26, 2001	)	

For: DYNAMIC RECONFIGURATION OF APPLICATIONS ON A SERVER

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

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**Technology Center 2100** 

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

1

_X	37 C.F.R. §1.97(b).
<del>-</del>	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)

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information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:
A statement pursuant to 37 C.F.R. §1.97(e)
1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
AND
A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.
(1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

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The E	xaminer's attention is directed to the following related application(s) for the
following reas	sons (as indicated by an "X" to the left of the appropriate paragraph):
_X	The Examiner is hereby notified that the following related application has been filed:
	U.S. Application Serial No. 09/792,805, filed on February 23, 2001.
	This related application contains one or more claims that may be substantially
	similar to one or more claims in the present application. Therefore, the Examiner
	is encouraged to reference the file history of the related application as some of the
	information contained therein may be material to the examination of the present
	application.
	The Examiner is hereby notified that the present application is related to the following related application(s):
	U.S. Application Serial No, filed on
	U.S. Application Serial No, filed on
	U.S. Application Serial No, filed on
	U.S. Application Serial No, filed on
	U.S. Application Serial No, filed on
	The related application(s) contain(s) one or more claims that may be substantially
	similar to one or more claims in the present application, those claims may have
	been rejected in the related application(s), and a different interpretation may have
	been applied to one or more of the references that are of record in the present
	application. Therefore, the Examiner is encouraged to review the file history(ies)
	of the related application(s) as some of the information contained therein may be
	material to the examination of the present application.
X	The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:
	U.S. Application Serial No. <u>09/792,805</u> filed on <u>February 23, 2001</u> (Office Action mailing date June 15, 2004)

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The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have been rejected in the related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

John D. Henkhaus

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Dated: 8/2/04

San Jose, California 95125-5106

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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August , 2004 (Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence

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## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

**ATTY. DOCKET NO.** 15437-0541

**SERIAL NO.** 09/994,497

APPLICANT:

Arvind Srinivasan et al.

FILING DATE: November 26, 2001 **GROUP:** 2155

Example Marches	U.S. Patent Do	cument	U.S. PATENT DOCUMENTS  Name of Paten Rate Applicate V Conference of Cited Bocument	Pages, Columns,	
Initial* No.		Kind Code <sup>2</sup> (If known)	AUG 0 9 2004		Lines, Where Relevant Passages or Relevant Figures
	5,165,018	<u> </u>	STAChnology Center	<del>2100</del> 11-17-1992	Appear
	5,659,786		George et al.	08-19-1997	
	5,682,529		Hendry et al.	10-28-1997	
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Exam. Cite	e F	oreign Patent	Document	REIGN PATENT DOCUMENTS  Name of Patentee or Applicant	Date of	Pages,	т6
Initial* No	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1
		-					<del> </del>

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

	SERIAL NO
15437-0541	09/994,497

**APPLICANT:** 

Arvind Srinivasan et al.

FILING DATE: November 26, 2001 **GROUP:** 2155

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E S	<u> </u>		1	U.S. PATENT DOCUMENTS		
Exam. Initial*	No.		ind Code <sup>2</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2002/0120724	A1	Kaiser et al.	08-29-2002	
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I .	Cite	Foreign Patent Document			Name of Patentee or Applicant	Date of	Pages,
	No.¹	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> (If known)	of Cited Document  Publication of Cited Lines, Where Document MM-DD- Passages or YYYY Relevant Figures Appear		

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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